

**ESTATE PLANNING QUESTIONNAIRE**

I. Personal Data

A. Client's Full Name \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Phone (H) \_\_\_\_\_ (W) \_\_\_\_\_

Date of Birth \_\_\_\_\_ Social Security Number \_\_\_\_\_

Prior Marriage \_\_\_\_\_ Citizenship \_\_\_\_\_

B. Name of Spouse \_\_\_\_\_

Phone (W) \_\_\_\_\_

Date of Birth \_\_\_\_\_ Social Security Number \_\_\_\_\_

Prior Marriage \_\_\_\_\_ Citizenship \_\_\_\_\_

C. Children

1. Child's Name \_\_\_\_\_ Date of Birth \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Child's Spouse's Name \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

2. Child's Name \_\_\_\_\_ Date of Birth \_\_\_\_\_

Address \_\_\_\_\_

Child's Spouse's Name \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

3. Child's Name \_\_\_\_\_ Date of Birth \_\_\_\_\_

Address \_\_\_\_\_

Child's Spouse's Name \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

4. Child's Name \_\_\_\_\_ Date of Birth \_\_\_\_\_

Address \_\_\_\_\_

Child's Spouse's Name \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

Child's Children \_\_\_\_\_ Age \_\_\_\_\_

II. Annual Income

	<u>Employment</u>	<u>Social Security</u>	<u>Pension</u>	<u>Investment</u>	<u>Other</u>	<u>Total</u>
Client	_____	_____	_____	_____	_____	_____
Spouse	_____	_____	_____	_____	_____	_____
Total Annual Income \$						_____

III. Assets and Liabilities

A. **Cash Accounts**

	<u>Description</u>	<u>Value</u>	<u>Owner</u>
1.			
2.			
3.			
4.			
5.			
Total Cash Accounts \$			_____

B. **Brokerage Accounts**

	<u>Description</u>	<u>Value</u>	<u>Owner</u>
1.			
2.			
3.			
4.			
5.			
Total Brokerage Accounts \$			_____

C. Stocks and Bonds (held outside of Brokerage Accounts)

	<u># of Shares or Amount</u>	<u>Name of Company or Issuer</u>	<u>Value</u>	<u>Owner</u>
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				

Total Stocks and Bonds \$ \_\_\_\_\_

D. Real Estate

	<u>Address</u>	<u>Approximate Value</u>	<u>Balance of Mortgage</u>	<u>Owner</u>
1.				
2.				
3.				
4.				

Total Real Estate Equity \$ \_\_\_\_\_

**E. Business Interests**

	<u>Name of Business</u>	<u>Value</u>	<u>Owner</u>
1.			
2.			
3.			
4.			

Total Business Interests \$ \_\_\_\_\_

**F. Family Limited Liability Companies / Family Limited Partnerships**

	<u>Name of FLLC / FLP</u>	<u>Value</u>	<u>Owner</u>
1.			
2.			
3.			
4.			

Total FLLC / FLP Interests \$ \_\_\_\_\_

**G. Notes and Accounts Receivable**

	<u>Description</u>	<u>Value</u>	<u>Owner</u>
1.			
2.			
3.			
4.			

Total Notes / Accounts Receivable \$ \_\_\_\_\_

**H. Other Assets**

	<u>Description</u>	<u>Value</u>	<u>Owner</u>
1.			
2.			
3.			
4.			
5.			

Total Other Assets \$ \_\_\_\_\_

**I. Life Insurance Policies Owned by Client and Spouse**

	<u>Name of Insurance Co.</u>	<u>Owner</u>	<u>Insured</u>	<u>Type</u>	<u>Death Benefit</u>	<u>Cash Value</u>	<u>Designated Beneficiary</u>
1.							
2.							
3.							
4.							
5.							
6.							

Total Life Insurance Death Benefits \$ \_\_\_\_\_

**J. Retirement Plans, IRAs and 401(k) Plans**

	<u>Company</u>	<u>Plan Type</u>	<u>Value</u>	<u>Participant/ Plan Owner</u>
1.				
2.				
3.				

- 4.
- 5.
- 6.
- 7.
- 8.

Total Retirement Plans \$ \_\_\_\_\_

**K. Non-Qualified Annuities (not IRAs)**

	<u>Company</u>	<u>Owner</u>	<u>Annuitant</u>	<u>Beneficiary</u>	<u>Basis</u>	<u>Value</u>
1.						
2.						
3.						
4.						

Total Annuities \$ \_\_\_\_\_

**L. Liabilities (other than Mortgages)**

	<u>Name of Lender</u>	<u>Type of Account</u>	<u>Balance Owed</u>
1.			
2.			
3.			
4.			

Total Liabilities \$ \_\_\_\_\_

**M. Summary of Assets and Liabilities**

- A. Cash Accounts \$ \_\_\_\_\_
- B. Brokerage Accounts \_\_\_\_\_
- C. Stocks and Bonds \_\_\_\_\_
- D. Real Estate Equity \_\_\_\_\_
- E. Business Interests \_\_\_\_\_
- F. FLPs / FLLCs \_\_\_\_\_
- G. Notes / Accounts  
Receivable \_\_\_\_\_
- H. Other Assets \_\_\_\_\_
- I. Life Insurance \_\_\_\_\_
- J. Retirement Plans \_\_\_\_\_
- K. Annuities \_\_\_\_\_
- L. Liabilities ( \_\_\_\_\_ )
- Total Net Worth \$ \_\_\_\_\_